



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	)	Examiner: Landsman, Robert S.
	)	
Kevin P. BAKER, et al.	)	Art Unit: 1647
	)	
Application Serial No. 10/017,253	)	Confirmation No: 9691
	)	
Filed: December 14, 2001	)	Attorney's Docket No. 39780-2830 P1C62
	)	
For: <b>SECRETED AND</b>	)	<b>Customer No. 35489</b>
<b>TRANSMEMBRANE</b>	)	
<b>POLYPEPTIDES AND NUCLEIC</b>	)	
<b>ACIDS ENCODING THE SAME</b>	)	

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Date Mailed: November 20, 2004

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

☐ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):

- |                          |     |  |
|--------------------------|-----|--|
| <input type="checkbox"/> | (1) | It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d) |
|                          |     | -- OR --   |
| <input type="checkbox"/> | (2) | It is being filed within 3 months of entry of a national stage   |
|                          |     | -- OR --   |
| <input type="checkbox"/> | (3) | It is being filed before the mail date of the first Office Action on the merits  |
|                          |     | -- OR --   |

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- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- ☒ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- ☐ a certification as specified in §1.97(e) is provided below; or
- ☒ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
- C. a fee of \$180.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ **Fee Authorization.** The Commissioner is hereby authorized to charge the above-referenced fees of **\$180.00** and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. **08-1641 (Attorney's Docket No. 39780-2830 P1C62).**

Respectfully submitted,

Dated: November 20, 2004

By:

  
Anna L. Barry, Ph.D. (Reg. No. 51,436)

**HELLER EHRMAN WHITE & MCAULIFFE LLP**  
275 Middlefield Road  
Menlo Park, California 94025-3506  
Telephone: (650) 324-7000  
Facsimile: (650) 324-0638



<b>INFORMATION DISCLOSURE STATEMENT</b>  <b>PTO-1449</b>			<b>ATTY. DOCKET NO.:</b> 39780-2830 P1C62		<b>SERIAL NO.:</b> 10/017,253		
			<b>APPLICANT :</b> Kevin P. BAKER, et al.				
			<b>FILING DATE:</b> December 14, 2001		<b>GROUP:</b> 1647		
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
						<input type="checkbox"/>	<input type="checkbox"/>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
✓	Dayhoff, Accession No.: P_AAB50928, WO 200073452-A2, Pub Date: December 7, 2000, Ashkenazi, A. J., et al.						
	Dayhoff, Accession No.: P_AAU14592, WO 200155437-A2, Pub Date: August 2, 2001, Tang, Y. T., et al.						
	Dayhoff, Accession No.: P_AAU14356, WO 200155437-A2, Pub Date: August 2, 2001, Tang, Y. T., et al.						
	Dayhoff, Accession No.: P_AAU12434, WO 200140466-A2, Pub Date: June 7, 2001, Baker, K. P., et al.						
	Dayhoff, Accession No.: P_AAY99436, WO 200012708-A2, Pub Date: March 9, 2000, Baker, K. P., et al.						
	Dayhoff, Accession No.: NP_115955, 14, Biochem. Biophys. Res. Commun., 302 (4), pp. 904-915, 2003, Cui, Y., et al.						
✓	Dayhoff, Accession No.: ECG2_HUMAN, Direct Submission, Submission: May 2000, Bi, M., et al.						
	Dayhoff, Accession No.: P_AAW86800, WO 9846758-A1, Pub Date: October 22, 1998, Bandman, O., et al.						
	GenBank, Accession No.: P_AAS21506, WO 200140466-A2, Pub Date: June 7, 2001, Baker, K. P., et al.						
	GenBank, Accession No.: P_AAC91487, WO 200073452-A2, Pub Date: December 7, 2000, Ashkenazi, A. J., et al.						
	GenBank, Accession No.: P_AAA37118, WO 200012708-A2, Pub Date: March 9, 2000, Baker, K., et al.						
	GenBank, Accession No.: AX055472, WO 0073452-A2, Pub Date: December 7, 2000, Ashkenazi, A. J., et al.						
	GenBank, Accession No.: P_AAS22661, WO 200155437-A2, Pub Date: August 2, 2001, Tang, Y. T., et al.						
	GenBank, Accession No.: P_AAS22897, WO 200155437-A2, Pub Date: August 2, 2001, Tang, Y. T., et al.						
	GenBank, Accession No.: AF268198, Direct Submission, Submitted: May 16, 2000, Bi. M., et al.						
<b>EXAMINER</b>			<b>DATE CONSIDERED</b>				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Received only 4 NPLS.*

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